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ProPat, L.L.C.

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| To: | USPTO | From: | Claire Wygand for Klaus Schweitzer | | | | |
|--------|---|--------|---|--|--|--|--|
| | Examiner L. Wells | | Phone: (704) 365-4881 | | | | |
| | Art Unit 1617 | | Fax: (704) 365-4851 | | | | |
| Fax; | (703) 872-9306 | Pagesi | 46 pages total: | | | | |
| | | | Fax Cover sheet (1 page) | | | | |
| | | | Fee Transmittal (1 page) | | | | |
| | | | Limited Recognition Form (1 page) | | | | |
| | | 110 | Preliminary Amendment/Reply (21 pgs) | | | | |
| | | | Declaration of Dr. Manfred Bohn (5 pgs) | | | | |
| | | | Exhibit I (11pgs) | | | | |
| | | | Exhibit II (6 pgs) | | | | |
| Phone: | (703) 305-0389 | Date: | November 12, 2003 | | | | |
| Rei | Application No. 10/859,361; Filed 9/11/2003 | | | | | | |
| | Our Ref.: 97L213C1 | | | | | | |
| | Preliminary Amendment | | | | | | |

Dear Examiner Wells,

Attached are: Preliminary Amendment with a Declaration and Exhibits I and II for the above case with a copy of the Limited Recognition under 37 C.F.R. § 10.9(b) for Klaus Schweitzer.

Respectfully submitted,

Claire Wygand

Paralegal

PTO/S9/17 (05-03)
Approved for use through 04/30/2003. OMB 0851-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

| Other sier applituit Nedestoll Aut of 1990, IN paragina | DIA LACT | ad to respond to a collection of information unless it displays a valid OMB control number. | | | | | | | | |
|---|--------------------------------------|---|--------------------|---------------------|--|---|-----------------------------------|--|---------------|--|
| FEE TRANSMITTAI | | | Complete If Known | | | | | | | |
| | - ^* | | Application Number | | | 09/822,674 | | | | |
| for FY 2002 | | Filing Date | | | | September 11, 2003 | | | | |
| 1 | | First Named Inventor | | | Manfred BOHN et al. | | | | | |
| Effective 01/01/2003. Petent fees are subject to annual revision. | | Examiner Name | | | | L. Wells | | | | |
| Applicant Claims small entity status. See 37 CFR | 1.27 | 27 Group Art Unit 16 | | | | 1617 | 817 | | | |
| TOTAL AMOUNT OF PAYMENT (\$) 108.00 | ··=·_ | Attorney Docket No. 97L213C1 | | | | | | | | |
| METHOD OF PAYMENT (check all that apply) | FEE CALCULATION (continued) | | | | | | | | | |
| Chack Credit card Order Other None | 3. ADDITIONAL FEES | | | | | | | | | |
| | Large ! | Entity | 5mal | Entity | • | | | | | |
| Doposit Account | 년** 1051 | Fee 130 | 2051 | Fee | P | | | | Fee Pald | |
| Account Number 50-2193 | 1651 | 130 | 2.51 | 65 | Surchs | rge — late filing | | | | |
| Deposit Account Name ProPat, L.L.C. | 1051 | 5 0 | 2052 | 25 | Surcharge – late provisional filing fee or cover sheet | | | | | |
| The Commissioner is authorized to: (check all that apply) | 1053 | 130 | 1053 | 130 | Non-Er | glish specifics | | | | |
| Charge foe(s) indicated below Credit any overpayments X Charge any additional fee(s) during the pendency of this application | 1812 | 2.520 | 1812 | 2.520 | | c a request for | | | | |
| | 1804 | 920 | 1804 | 920 | Exemir | ating publication | | | | |
| Charge fee(s) indicated below, except for the filling fee | 1805 | 1,840 | 1805 | 1,840 | Reque! Exemir | iting publications | | | | |
| FEE CALCULATION | 1251 | 110 | 1251 | 55 | Extens | ian far reply wi | | | | |
| 1. BASIC FILING FEE | 1252 | 410 | 1252 | 205 | Extens | ion for reply wi | | | | |
| Large Entity Small Entity | 1253 | 930 | 1253 | 485 | Extens | on for raply wi | | | | |
| Code (\$) Fee Description | 1254 | 1,450 | 1254 | 726 | Extens | on for reply wi | n | | | |
| 1001 750 2001 375 Utility filling fee | 1255 1401 | 1,970 | 1255 | 985 | | | thin fifth month | | | |
| 1002 330 2002 165 Design filing fee 1003 520 2003 260 Plant filing fee | 1402 | 320 320 | 1401 | 160 160 | | of Appeal brief in suppo | | | | |
| 1004 750 2004 375 Relative filing fee | 1403 | 280 | 1403 | 140 | Reques | ├── | | | | |
| 1005 150 2005 80 Provisional filing fee | 1451 | 1,510 | 1451 | 1,510 | Petition | | | | | |
| BUTTOTAL (4) (4) | 1452 | 110 | 2452 | 55 | | on to revive — unavoidable | | | | |
| SUBTOTAL (1) (3) 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | 1453 1501 | 1,300 | 2453 2501 | 650 650 | | on to revive — unintentional | | | | |
| Fee from | 1502 | 470 | 2502 | 236 | - | an leeup fee | | | | |
| Total Claims 26 -207 6 x 18.00 > 106.00 | 1503 | 630 | 2503 | 315 | Plant le | | | | | |
| Independent X = -0- | 1480 | 130 | 1460 | 130 | | ins to the Commissioner | | | | |
| Multiple Depandent | 1807 | 50 | 1807 | 50 | Proces | saing fee under 37 CFR 1.17(q) | | | | |
| Large Entity Small Entity | 1806 | 180 | 1906 | 190 | | seion of Information Diaclosure Stmt | | | | |
| Code (5) Code (5) Fee Description | 8021 | 40 | 8021 | 40 | | | t essignment pe of properties) | P | | |
| 1202 15 2202 9 Claims in excess of 20 | 1809 | 750 | 2809 | 375 | Filing a | aubmission af | ter final rejection | 1 | | |
| 1201 84 2201 42 Independent dalma in excess of | 1810 | 750 | 2510 | 375 | | CFR § 1.129(a)) each additional invention to be mined (37 CFR § 1.129(b)) | | | | |
| 1203 250 2203 140 Multiple dependent dalm, if not paid | 1801 | 750 | 2801 | 376 | Reques | et for Continued Examination (RCE) | | | | |
| 1204 84 2204 42 **Relative Independent claims over original patent | 1802 | 900 | 1802 | 900 | Request for expedited examination | | | | | |
| 1205 18 2205 9 **Reliatue claims in excess of 20 and over original patent | | | | | of a deeign application | | | | | |
| SUBTOTAL (2) (8) 108.00 | Other fee (specify) | | | | | | | | | |
| " or number previously peld, if greater, For Releaves, see above | *Reduced by Basic Filing Fee Paid | | | | SUBTOT | ┺━━┥ | | | | |
| SUBMITTED BY *Reduced by Basic Filing Fee Paid *Reduced by Basic Filing Fee Paid *Reduced by Basic Filing Fee Paid *Complete (Fapploable) | | | | | | | | | | |
| Neme (PrintType) Kisus Schweitzer | Registration No. (Attorney/Agent) | | | Limited Recognition | | | Telephone | (704) 365-4881 | | |
| signature U. Schambus | | | | | | | Date | Nov. 12 | 2, 2003 | |

WARNING: Information on this form may become public. Credit card information should not be included on this firm. Provide credit card information and authorization in PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief information Officer, U.S. Patent and Trademerk Office, Weshington, DC 20231.

D. NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for PetantsP.O. Box 1450 Alexandra, VA 22213-1450.

BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE UNITED STATES PATENT AND TRADEMARK OFFICE

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Klaus Schweitzer is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of ProPat, L.L.C. to prepare and prosecute U.S. patent applications wherein the applicant is the client of ProPat, L.L.C., and the attorney of record in the applications is Mr. Gregory Clements (Reg. No. 30,713). This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Klaus Schweitzer ceases to lawfully reside in the United States, (ii) Klaus Schweitzer's employment with ProPat, L.L.C. ceases or is terminated, or (iii) Klaus Schweitzer ceases to remain or reside in the United States on an E2 visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: November 6, 2004

Director of Enrollment and Discipline

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Attom y Docket No. 97L213C1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Bohn, et al.

Art Unit: 1617

Serial No.: 10/659,361

Examiner: L. Wells

Filed: September 11, 2003

For: ANTIPSORIATIC NAIL POLISH

PRELIMINARY AMENDMENT

Commissioner for Patents Alexandria, VA 20231

Charlotte, North Carolina November 12, 2003

Sir:

Prior to examination, please enter the following amendment for the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims beginning on page 2 of this paper.

Remarks/Arguments begin on page 6 of this paper.